



# STIC Search Report

EIC 2100

STIC Database Tracking Number: 145363

**TO:** Philip Chea  
**Location:** RND 4D79  
**Art Unit :** 2153  
**Thursday, April 28, 2005**

**Case Serial Number:** 09/852336

**From:** Emory Damron  
**Location:** EIC 2100  
RND 4B19  
**Phone:** 2-3520

**Emory.damron@uspto.gov**

## Search Notes

Dear Examiner Chea,

Attached please find your search results for above-referenced case.  
Please contact me if you have any questions or would like a re-focused search.

Emory

Green, Shirelle

From: Unknown@Unknown.com  
Sent: Wednesday, April 06, 2005 7:22 AM  
To: STIC-EIC2100  
Subject: Generic form response

ResponseHeader=Commercial Database Search Request

AccessDB#= 144980

LogNumber= 16

Searcher= \_\_\_\_\_

SearcherPhone= \_\_\_\_\_

SearcherBranch= \_\_\_\_\_

MyDate=Wed Apr 6 07:21:22 EDT 2005

submitto=STIC-EIC2100@uspto.gov

Name=Philip Chea

Empno=80417

Phone=2-3951

Artunit=2153

Office=RND 4D79

Serialnum=09852336

PatClass=709/202

Earliest=5/9/2000

Format3=email

2005/04/16 09201

RECEIVED  
APR 06 2005

BY.....



# STIC Search Results Feedback Form

## EIC 2100

Questions about the scope or the results of the search? Contact **the EIC searcher or contact:**

Anne Hendrickson, EIC 2100 Team Leader  
272-3490, RND 4B28

## Voluntary Results Feedback Form

➤ I am an examiner in Workgroup: **2153** Example: 2133

➤ Relevant prior art **found**, search results used as follows:

- 102 rejection
- 103 rejection
- Cited as being of interest.
- Helped examiner better understand the invention.
- Helped examiner better understand the state of the art in their technology.

*Types of relevant prior art found:*

- Foreign Patent(s)
- Non-Patent Literature  
(journal articles, conference proceedings, new product announcements etc.)

➤ Relevant prior art **not found**:

- Results verified the lack of relevant prior art (helped determine patentability).
- Results were not useful in determining patentability or understanding the invention.

**Comments:**

Drop off or send completed forms to STIC/EIC2100 RND, 4B28



Set	Items	Description
S1	4872	SEARCH?(7N) (CHARACTERISTIC? OR CRITER? OR QUERY? OR QUERIE? OR REQUIREMENT? OR QUALIFICATION? OR ATTRIBUTE? OR REQUISIT?)
S2	39003	SEARCH?(7N) (PROFILE? OR TARGET? OR CONTACT? OR STENCIL? OR TEMPLAT? OR INFO OR INFORMAT? OR DATA)
S3	847	(PROFIL? OR TARGET? OR CONTACT? OR STENCIL? OR TEMPLAT? OR CHARACTERISTIC? OR ATTRIBUT?) (7N) (PERSONALIZ? OR PERSONALIS? - OR CUSTOMIZ? OR CUSTOMIS? OR INDIVIDUALIZ? OR INDIVIDUALIS?)
S4	30	(PROFIL? OR TARGET? OR CONTACT? OR STENCIL? OR TEMPLAT? OR CHARACTERISTIC? OR ATTRIBUT?) (7N) (CUSTOM OR TAILOR) () (MAKE? OR MAKING? OR MADE?)
S5	210825	(POTENTIAL? OR PROSPECTIV? OR PROFIL?) (7N) (TARGET? OR CONTACT? OR PROFIL?)
S6	7869	REFERRAL? OR RECOMMENDAT? OR SPEAK?(2W) (HIGHLY? OR WELL) OR TESTIMONIAL? OR LETTER?(2W) INTRODUCT? OR COMPLIMENT? OR PRAISE? OR HIGH() MARK?
S7	7839176	MATCH? OR BROKER? OR (BRING? OR BRUNG? OR BROUGHT? OR LINK? OR JOIN?) () TOGETHER? OR CONNECT? OR COUPL? OR MATE? OR MATING?
S8	18963	SPECIF?(3N) CONNECT? OR DEGREE?(2W) SEPARAT? OR CONTROL?(3N) - INTERACT? OR NETWORK?(2N) (CHAIN? OR CONCATENAT?)
S9	3425	(ACCESS? OR CONTACT? OR COMMUNICAT? OR INTERPERSONAL?) (5N) - (LEVEL? OR PERMISS? OR PERMIT? OR HIERARCH? OR CONTROL?) (7N) (- PRIVAT? OR DISCREET? OR PERSONAL? OR P2P OR PERSON(2W) PERSON - OR PEER(2W) PEER OR (SHARE? OR SHARING) (2W) (OTHER? OR ANOTHER? - ))
S10	223827	S1:S9(10N) (COMPUTER? OR NETWORK? OR SERVER? OR WORKSTATION? OR DESKTOP? OR INTERNET? OR ONLINE? OR SOFTWARE? OR WEBSITE? OR WORLD()WIDE()WEB)
S11	1196447	IC=G06F?
S12	2632	S1 AND S2:S5
S13	1342	S1 AND S10
S14	3024	S12:S13
S15	939	S14 AND S7
S16	16	S15 AND (S6 OR S8:S9)
S17	7119	S10 AND S7 AND S1:S5
S18	150	S17 AND (S6 OR S8:S9)
S19	96	S18 AND S11
S20	103	S16 OR S19
S21	833297	PR=2001:2005
S22	76	S20 NOT S21
S23	76	IDPAT (sorted in duplicate/non-duplicate order)

? show files

File 347:JAPIO Nov 1976-2004/Dec(Updated 050405)

(c) 2005 JPO & JAPIO

File 350:Derwent WPIX 1963-2005/UD,UM &UP=200526

(c) 2005 Thomson Derwent

?

23/3, K/34 (Item 34 from file: 350)  
DIALOG(R) File 350:Derwent WPIX  
(c) 2005 Thomson Derwent. All rts. reserv.

014605230 \*\*Image available\*\*  
WPI Acc No: 2002-425934/200245  
XRPX Acc No: N02-334927

**Verified service provider profile generation method for online investor, involves verifying credentials of specific service provider and obtaining insurance policy to insure correctness of credentials**

Patent Assignee: INVESTORTREE.COM INC (INVE-N)

Inventor: GOLDMAN N; KIRSCH J; REITZEL C; WOLFSON J

Number of Countries: 095 Number of Patents: 002

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200225404	A2	20020328	WO 2001US29494	A	20010920	200245 B
AU 200192887	A	20020402	AU 200192887	A	20010920	200252

Priority Applications (No Type Date): US 2000668828 A 20000922

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
-----------	------	-----	----	----------	--------------

WO 200225404	A2	E	76	G06F-000/00	
--------------	----	---	----	-------------	--

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

AU 200192887 A G06F-000/00 Based on patent WO 200225404

**Verified service provider profile generation method for online investor, involves verifying credentials of specific service provider and obtaining insurance policy to insure correctness**

Abstract (Basic):

... Each service provider **profile** having a credential is compiled. The credentials of the service provider **profiles** are verified and the **profiles** with non-verifiable credentials are identified. The verified **profiles** are compiled and an insurance policy that insures the correctness of the verified credentials is...  
... a) Service provider **referral** method...

...b) Verified service provider **profile** generation system...

...c) Service provider **referral** system...

...d) Customer **profile** corresponding service provider **profile** identifying method...

...e) Customer **profile** corresponding service provider **profile** identifying system...

...For generating verified **profiles** of service providers such as registered investment advisor, MBA, financial planner, and registered representative corresponding to customer **profile** of **online** investor. The typical **profiles** include social security number, employment history, educational background, trade certifications and licenses, etc...

...The method provides **profiles** of service providers that **match** the closest with the customer **profile**, **online**, based on risk **profiles**,

RELATED  
PAGE  
BENEATH

average investment amounts, geographic factors, etc., without involving much effort from the customer...

...The figure shows the block diagram of the service provider **profile** generation system...

...Title Terms: **PROFILE** ;

International Patent Class (Main): **G06F-000/00**